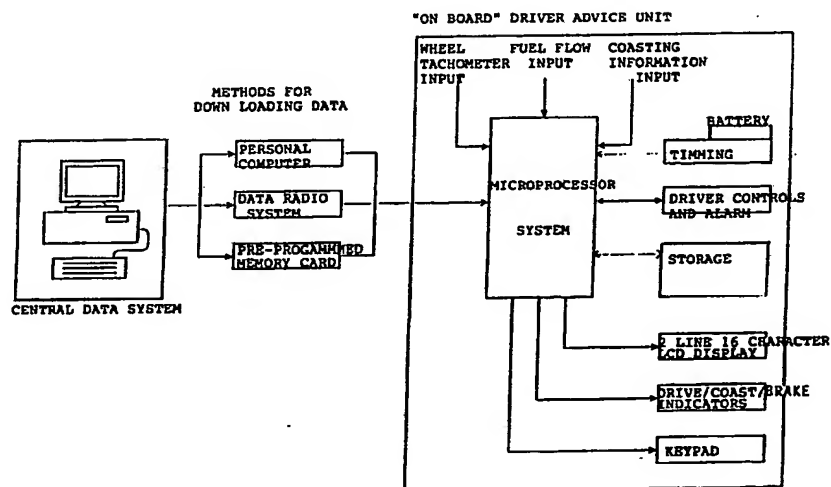




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/AU89/00421 (22) International Filing Date: 28 September 1989 (28.09.89) (30) Priority data: PJ 0654                      28 September 1988 (28.09.88) AU (71) Applicant (for all designated States except US): TEKNIS SYSTEMS (AUSTRALIA) PTY. LTD. [AU/AU]; 209-217 Wakefield Street, Adelaide, S.A. 5000 (AU). (72) Inventors; and (75) Inventors/Applicants (for US only): LONG, Andrew, Marsden [AU/AU]; 6 Blanche Avenue, Magill, S.A. 5072 (AU). MILROY, Ian, Peter [AU/AU]; "Heysham", Cockatoo Valley, S.A. 5351 (AU). (74) Agent: R K MADDERN & ASSOCIATES; 345 King William Street, Adelaide, S.A. 5000 (AU).		(81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), LU (European patent), NL (European patent), SE (European patent), US.  Published With international search report.

(54) Title: A SYSTEM FOR ENERGY CONSERVATION ON RAIL VEHICLES



## (57) Abstract

A method and means is provided whereby a vehicle travelling between two fixed points may be controlled either automatically or by prompting a driver to accelerate, coast and brake when required to meet a predetermined time of arrival at the finish point such that any period of coasting is maximised. Use of this method maximises fuel efficient usage by the vehicle. The progress of the vehicle is monitored and will translate into a velocity/distance curve. The time to COAST and BRAKE is determined from knowing and approximating the vehicle's coasting and braking characteristics along the route path ahead and in conjunction with the real time velocity/distance curve provides intersection points. Those points represent COAST and BRAKE times and means to indicate the action of COAST and BRAKE are then actuated.

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"A SYSTEM FOR ENERGY CONSERVATION ON RAIL VEHICLES"

The following is a full description of the invention, including the base method of performing it known to us.

This invention relates to a method and means for controlling a vehicle which maximises the period of coasting of a vehicle travelling between two points when required to meet a pred terminated time of arrival at the finish point.

5        PRIOR ART

In urban mass transit systems, automatic operation of individual trains and other passenger and freight transport means has been used for a number of years, and most new proposals for systems in large cities provide for such  
10 automation. However all systems (as far as is known to the applicant) which in particular run the trains under automatic control do so in accordance with predetermined velocity-distance or velocity-time profiles. With manually driven trains the extent to which any type of energy efficient tactics are  
15 employed by drivers is usually not the primary aim of the automatic system. However, it is a desirable object that vehicles travelling between any two points be capable of maximising the efficiency of their travel.

OBJECT OF THE INVENTION

20        It is an object of this invention to provide a means and method whereby there is provided a means to control a vehicle in an enegy efficient manner while still conforming to the required schedule of travel between two points.

EMBODIMENTS

25        In one embodiment of the invention the means comprises an advisory panel which presents advice to a driver so as to maximise a period of coasting which can occur prior to braking towards a station stop or speed restriction, the advisory panel being fed with information derived from rotation of train  
30 wheels, and stored data relating to the train's schedule and running characteristics, calculated in a computer or microprocessor and fed to read-out means on said panel so as to signal correct fuel efficient tactics. Although it is possible for thhe signals provided by the invention to directly control  
35 any vehicle operating under similar time constraints.

In another embodiment the invention relates to a method, the method consisting or receiving pulses responsive to distance travelled by the train wheels, storing data on the train's schedule and running characteristics in a computer or  
40 microprocessor, upgrading the data during the traverse of the

train between two adjacent stations, calculating the correct times for commencing and terminating coasting periods from the current speed of the train du to the remaining distance and the time to the next station, together with stored data, and  
5 thereby signalling the train driver at the times that the coasting phase should be commenced and terminated, in order to arrive at the next scheduled point on time but with reduced energy consumption.

An embodiment of the invention is described in more detail  
10 hereunder with reference to, and is detailed in the accompanying figures.

FIG 1 shows a pictorial representation of the speed of the vehicle during coasting and then braking;

FIG 1A shows a pictorial representation of the  
15 acceleration of the vehicle;

FIG 2 shows a representation of the driver advice means and data input means; and

FIG 3 shows a representation of the driver advice means.

This embodiment is specifically directed to diesel powered  
20 trains which are identified as "STA Class 2000", and in most instances utilizes existing timetables, however, in certain instances existing timetables prepared for passenger information require some minor modification which involve increasing the accuracy of arrival and departure to second  
25 accuracy instead of minute accuracy.

Practical tests have confirmed estimated fuel savings in the range of 8-14% by use of this invention.

The system software was developed so that the required data for train performance could be gathered in real time. In  
30 this embodiment the equipment "learns" the required train performance over a series of five commissioning runs, and updates its knowledge thereafter, so that variations of train performance on each station-to-station section are automatically accounted for.

35 During the simulation phase of the development, a study was made of the factors relating to operation of a train, which

influence fuel consumption. It was established that, for trains operating on relatively level track, the mechanical energy required to be delivered at the rail interface can be substantially reduced by use of appropriate driving tactics.

5 The energy saving available depends on the available "slack" in the timetable; for example, if a train's performance is such that the next station cannot be reached on schedule by "flat out" driving, then there is no scope for energy saving. Most operating timetables do, however, provide about 4% slack to  
10 allow for recovery from disturbances to running. This translates to about 12% potential energy saving from use of optimal driving tactics.

For the benefits of the invention to be fully realised, it is desirable that diesel engines should be tuned so that they  
15 are at peak efficiency while running at maximum available power. The same principles apply to other types of trains, whether AC electric, DC electric, or diesel electric trains. It should be noted that when accelerating away from the station, drivers should use maximum available power until they reach the  
20 indicated running speed, or until a coast decision is indicated. The only two driving sequences that should be applied for a train to be on-time are:

(a) ACCELERATE, SPEEDHOLD, COAST, BRAKE

or

25 (b) ACCELERATE, COAST, BRAKE

When a train is late the COAST phase is automatically shortened or deleted by this invention. If early, the COAST phase is extended.

#### CALCULATION OF "TIME TO BRAKE" AND "TIME TO COAST"

30 If the progress of the train is plotted on a velocity-distance graph, with velocity and distance being measured with sufficient frequency and accuracy, the BRAKE decision should be made when the train's trajectory from this plane encounters a switching curve. This curve is parabolic in form as shown in  
35 FIG 1, and is given by

$$v^2 = 2B (x_T - x)$$

where  $x_T$  = target distance (m)

$x$  = position (m)

$B$  = mean deceleration during braking  
(m/sec<sup>2</sup>)

The BRAKE decision algorithm automatically provides this advice to the driver two seconds before action is required, and sounds a warning buzzer. In practice the BRAKE decision is therefore mainly influenced by the speed and position of the train, at the time when it has to be made.

#### CALCULATION OF "TIME TO COAST" AND "TIME TO BRAKE"

Referring to FIG 1A the diagram represents the change of speed of the train during coasting and then braking.

If  $X$  is the distance travelled during braking then

$$X = \int_0^T v^* dt^* \quad (1)$$

and if  $x$  is the distance that can be travelled in time  $t$  from speed  $v$  then

$$x - X = \int_T^t v^* dt^* \quad (2)$$

so

$$x = \int_0^T v^* dt^* + \int_T^t v^* dt^* \quad (3)$$

In the special case of constant deceleration during both braking and coasting

$$\text{so } \frac{dv^*}{dt^*} = A \quad \text{for } 0 < t^* < T$$

$$\text{and } \frac{dv^*}{dt^*} = a \quad \text{for } T < t^* < t$$

$$\text{then } V = AT \quad (4)$$

$$\text{and } v - V = a(t - T) \quad (5)$$

$$\text{so } T = \frac{v - at}{A - a} \quad (6)$$

$$\text{also (1) becomes } X = \frac{1}{2}AT^2 = \frac{1}{2}VT = \frac{v^2}{2A} \quad (7)$$

$$(2) \text{ becomes } x - X = \frac{1}{2}(v + V)(t - T) \quad (8)$$

$$\text{so (3) becomes } x = \frac{1}{2}\{VT + (v + V)(t - T)\} \quad (9)$$

so (6) gives  $T$  ("time to brake")

then (4) gives  $V$  (speed at braking)

and (9) gives  $x$  (distance attainable)

all in terms of  $v$ ,  $t$ ,  $a$ ,  $A$ .

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(9) then becomes

$$x = \frac{v^2}{A-a} \left\{ vt - \frac{(v-At)(v-at)}{A-a} \right\} \quad (10)$$

as the distance attainable in time  $t$  from speed  $v$  subject to decelerations  $a, A$  which are applied for times to bring the train to rest.

During normal running, distance travelled and time travelled are monitored, and present speed, distance to go and time to go are calculated.

Given knowledge of  $A$  and  $a$  it is then a matter of checking if distance attainable by coasting and braking, is not less than distance to go, and if this is so then COASTING should begin.

#### Estimate of A

Extensive testing shows that  $A$  is approximately constant on flat track, and knowledge of the gradient of the track into each station over the distance where braking normally occurs allows the quantity  $g \sin \theta$  to be added to the train's tested "flat track" braking deceleration to give an acceptable estimate of  $A$  for each section.

#### Estimate of a

The following formula gives coasting deceleration on a straight flat track as a quadratic in  $v$

$$\text{i.e. } a = k_0 + k_1 v + k_2 v^2$$



In order to obtain a useful estimate of  $a$  for each section of track, the average deceleration during previous runs on each section is stored with the position and speed at the start of deceleration.

5

This allows a collection of  $(x, v, a)$  to be compiled for each section. The varying weather conditions and possibly slight degradation of track and wheel performance will have influenced the recorded values. In a particular run, the value of  $a$  to be used comes from a least squares best fit to the set of previously collected values. The number of values  $(x, v, a)$  stored for each section is about 16, with old values being discarded as new values are added. It is found that during normal running values of  $a$  corresponding to very small  $v$  are not available, but are valuable to control the orientation of approximating surfaces. To provide such control, several values of  $a$  for small  $v$  are calculated from the Davis formula and added to the list.

10

15

20

Another controlling value for large  $v$  (near the largest  $v$  obtained during normal running) is also calculated to ensure convexity of the approximating surface, and is added to the list.

25

The approximating surface used ( $a = f(x, v)$ ) is a quadratic least squares best fit to the 16 stored values  $(x, v, a)$ .

The approximating value is given by

30

$$a(x^*, v^*) = \sum_{i=0}^6 c_i P_i(x^*, v^*) \quad (11)$$

where

$$P_0 = 1, P_1 = x + \alpha_1, P_2 = v + \alpha_2 P_1 + \alpha_3$$

$$P_3 = x P_1 + \alpha_4 P_2 + \alpha_5 P_1 + \alpha_6$$

$$P_4 = x P_2 + \alpha_7 P_3 + \alpha_8 P_2 + \alpha_9 P_1 + \alpha_{10} \quad (12)$$

35

$$P_5 = v P_2 + \alpha_{11} P_4 + \alpha_{12} P_3 + \alpha_{13} P_2 + \alpha_{14} P_1 + \alpha_{15}$$

$$\text{and } \sum_k P_i(x_k, v_k) P_j(x_k, v_k) = 0 \text{ if } i \neq j. \quad (13)$$

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$$c_i = \sum_k a(x_k, v_k) P_i(x_k, v_k) / \sum_k P^2(x_k, v_k) \quad (14)$$

The use of orthogonal polynomials in this calculation has among its advantages the fact that the calculation of the orthogonal polynomial and the  $c_i$  for a particular section can easily be carried out while the train is stationary waiting to start the section. All that is required during acceleration is the valuation of  $a$  from (11) for given  $x, v$ , then the calculation of distance attainable from (6), (4), (9) followed by a decision.

There are, of course, other situations that must be checked in parallel; namely that  $v$  does not exceed maximum allowed speed at any part of the section and that  $v$  does not exceed  $\sqrt{2AX}$  which is "start of braking" speed from (7).

The COAST decision is ideally made when the train's trajectory in the velocity-distance plane encounters a three-dimensional surface which can be thought of as being described by values of three variables, namely distance-to-go, time-to-go and velocity. The train coasts as early as it can be consistent with on time arrival. To decide the moment of coasting actual time-to-go is regularly compared with a prediction of time required, made from a dynamic model of the train's performance.

In this embodiment, advice to the driver to DRIVE, COAST or BRAKE is purely advisory and if followed minimum fuel usage is achieved by accelerating as fast as possible and then coasting for the maximum period allowable within the constraints of timetable requirements and their existing slack periods. The timetable always takes precedence and external conditions such as temporary speed restrictions and wet or slippery rails can be accommodated by the system by recalculation of coasting and stopping points within the timetable constraints.

The Driver Advice Unit advises the driver using three methods; two visual and one audible. The primary method is to illuminate one of three indicators which are clearly labelled DRIVE, COAST and BRAKE. The three lights are mounted at very

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different angles to avoid any chance of confusion. When the DRIVE light is lit, the driver should operate the railcar normally, taking into account current driving conditions, any speed restrictions and the character of the line. When the COAST light is lit, the unit is informing the driver that the next station can be reached on time if the railcar is coasting. When the BRAKE light is lit the driver should apply the brakes to bring the railcar to a halt at the correct platform position. Every time the advice changes a unique tone pattern will sound to advise the driver of the change. The only time that the display will change and a tone will not sound is when the Advice Unit resets for the next segment of the journey. The third advice method is by the display of the appropriate word on the two line display in the front of the unit. This display is provided to allow the unit to be set up for each journey but is also used to display the train number, the current time and the next stopping point.

The invention initially requires only gradient data and schedule data to be fed to it from external sources or supplied programmed into the storage means. Alternatively the data could be supplied via direct connect or radio link means. The remaining parameters required to make the best achievable estimate of the required COAST decision switching surfaces are automatically collected and updated as each journey proceeds, so that slow and consistent variations in train coasting performance are automatically tracked, and sudden changes in track conditions (e.g. new temporary speed restrictions) are automatically "learnt" by the system after a number of runs. On the other hand, stochastic variations, such as changes in train resistance caused by wind conditions, are not followed and the accepted optimum strategy of making a least-squares estimate of the most likely values of relevant stochastic parameters is used.

Maximum possible coasting time is allowed in each case, and it should be noted that the algorithms depend only on train performance during COAST and BRAKE modes, and will operate without modification for any type of condition of traction system, whether diesel-hydraulic, diesel-electric, electric AC or electric DC.

Reference is now made to FIG 2:

The on-board driver advisory system consists of inputs from the axle tachometer, fuel flow and coasting detector inputs, driver control input; a visual display which further comprises two parts; an alphanumeric display and DRIVE, COAST and BRAKE visual indicator, a key pad data input device and a microprocessor calculation and controller device.

The controller device performs the tasks of data collection, tactics generation, display generation and data logging. To do this, a microprocessor is used. In addition to its on-board functions, the control unit has also been used for software development and testing.

During the course of a journey, the following information is collected or computed by the on-board system twice per second however this period may be longer or shorter;

- . current journey segment
- . distance-to-go to next station
- . velocity of train
- . position of driver's control (COAST or NOT)

Journey time is calculated using a battery backed real-time clock by subtracting the present time from scheduled journey departure time. The clock is also used to generate a time of day display for the driver. It is found that a resolution of one second is adequate for all purposes.

It is normal that STA Class 2000 trains utilise an axle rotation pulse generator that generates 128 pulses per revolution of the wheel and use is made of this facility to determine distance and velocity. A 16 bit counter is used to count the pulses from the wheel. The counter is read as required, and the count accumulated to calculate the train position. The distance count is automatically corrected at each station stop from the table of information within the computer on-board.

The train speed is determined by counting the pulses from the axle generator over a given interval of time, (usually one second). Each time the distance counter is read, the average speed of the train since the last reading is calculated. Journey data consisting of TRAIN, TRACK and SCHEDULE data are loaded into on-board memory, while the train is stationary at

times convenient to the operation of the system. The data, together with input signals from the wheel tachometer, and the driver's control relays are used to calculate the journey state. Other data required to generate the optimal driving advice are also stored on-board and updated after each journey.

During each journey a journey log is written into battery backed RAM. The display panel is the interface between the on-board system and the driver and provides guidance information for the driver.

Each display panel indicates the following information:

- . the currently advised driving tactic  
(ACCELERATE, HOLD, COAST, BRAKE);
- . the speed to be held;
- . the current time of day (optional).

15

In this embodiment a terminal can be connected to the control unit via a standard RS232 serial port. Its functions are to initiate the running of a program, to display the information being logged by the control unit, and to allow other data to be input or output by the application programmer during the system development but this function could also be performed by a data radio link to a central data system and/or a preprogrammed memory storage cartridge as shown in FIG 2.

The Driver Advice Unit FIG 3 uses an STD bus system and the components of that system include a 13 slot STD bus card frame, DC power supplies, twin disk drive, an Intel 280A microprocessor, counter/timer card, input/output card, 32k CMOS RAM card, real time clock and counter card and utility card.

30

The claims defining the invention are as follows:

1. Means for controlling a vehicle, travelling between a start and finish point to enable that vehicle to achieve a maximum period of coasting, comprising;

a calculation means;

5 a timing means providing signals to the calculation means, representing the current time and time elapsed since commencement of travel from the start point;

10 a distance travelled monitor means providing a signal to the calculation means, representing the distance travelled from the start point and a velocity measurement signal;

15 a storage means containing at least one coasting acceleration value corresponding to a plurality of velocity and position values and at least one braking acceleration value corresponding to a plurality of velocity and position values for that vehicle, and values representing the predetermined time of arrival at the finish point and the distance between the start and finish point; whereby

20 the calculations means by using the time elapsed and the distance travelled to determine the velocity of the vehicle and position of the vehicle and calculates from at least one of the corresponding coasting acceleration values and a braking acceleration value a time of arrival at the finish point if coasting were to commence at the current time, and if that time is less than the time remaining to the predetermined time of arrival to operate the signal means to control the vehicle to  
25 commence coasting.

2. A means for controlling a vehicle according to claim 1 wherein the coasting acceleration characteristics comprise a  
30 plurality of acceleration values obtained during coasting periods, comprising a coasting indicator means which while indicating coasting controls the calculation means to store in the storage means the distance travelled and velocity value signals measured during coasting, the calculation means then  
35 calculates an average acceleration by using the said stored

distance travelled and velocity values, said plurality of acceleration values form the points on a surface  $a = f(x, v)$  whereby any point on the surface may be calculated by the calculation means using a least squares best fit quadratic to the obtained points to provide the estimated coasting acceleration for any signal values of velocity and distance travelled.

3. A means for controlling a vehicle according to Claim 1 wherein the braking acceleration characteristics comprise a constant acceleration value representing the vehicle's braking characteristic added to a constant acceleration value representing the gradient characteristic of the start to finish point wherein these values are used by the calculation means to calculate a braking deceleration curve terminating at the finish point for any signal values of velocity or distance travelled.

4. A means for controlling a vehicle according to the preceding claims wherein for any distance travelled and any velocity signal the coasting acceleration value and braking acceleration value may be used in the calculation means to provide a predicted time of arrival of the train at the finish point.

5. A means for controlling a vehicle according to Claim 2 wherein the least squares best fit quadratic uses an orthogonal polynomial to estimate the coasting acceleration value corresponding to any signal value of distance travelled and velocity.

6. A means for controlling a vehicle according to Claim 3 wherein the number of values of average acceleration used to calculate the coasting acceleration value is the last sixteen values corresponding to stored values of distance travelled and velocity during periods of coasting over the same start to finish point route.

7. A means for controlling a vehicle according to Claim 1 wherein the signal means to control the vehicle comprises an advisory panel.
- 5 8. A means for controlling a vehicle according to claim 7 wherein the advisory panel comprises an audible and visual signal means to alert the driver of the coast decision having been made by the calculation means.
- 10 9. A means for controlling a vehicle according to claim 8 wherein the advisory panel comprises visual signal means comprising at least the words COAST and BRAKE further having illuminated means for the words arranged at angles differing to each other.
- 15 10. A means for controlling a vehicle according to claim 3 wherein the storage means further contains a constant deceleration braking value representative of the mean deceleration during braking of the vehicle, wherein the
- 20 calculation means uses the distance travelled signal the time elapsed signal, and the constant deceleration braking value to provide a time at which the control means controls the vehicle to brake.
- 25 11. A means for controlling a vehicle according to claim 1 wherein the calculation means comprises a microprocessor.
- 30 12. A means for controlling a vehicle according to claim 2 wherein the storage means contains initial estimates of the coasting and braking acceleration values corresponding to velocity and position values, wherein those values are preprogrammed into the storage means or down loaded from a personal computer via direct link means or data radio means.
- 35 13. A means for controlling a vehicle according to claim 1 wherein the distance travelling monitor means comprises a wheel tachometer.



14. A means for controlling a vehicle according to claim 1 wherein the signal means which controls the vehicle is connected to the acceleration controller of the vehicle.

5 15. A means for controlling a vehicle according to claim 7 wherein the advisory panel comprises an alphanumeric display to indicate to a vehicle occupant the starting and finishing points.

10 16. A means for controlling a vehicle according to claim 7 wherein the advisory panel comprises an alphanumeric display to indicate to a vehicle occupant the current time.

15 17. A means for controlling a vehicle substantially as herein before described with reference to and as illustrated in the accompanying drawings.

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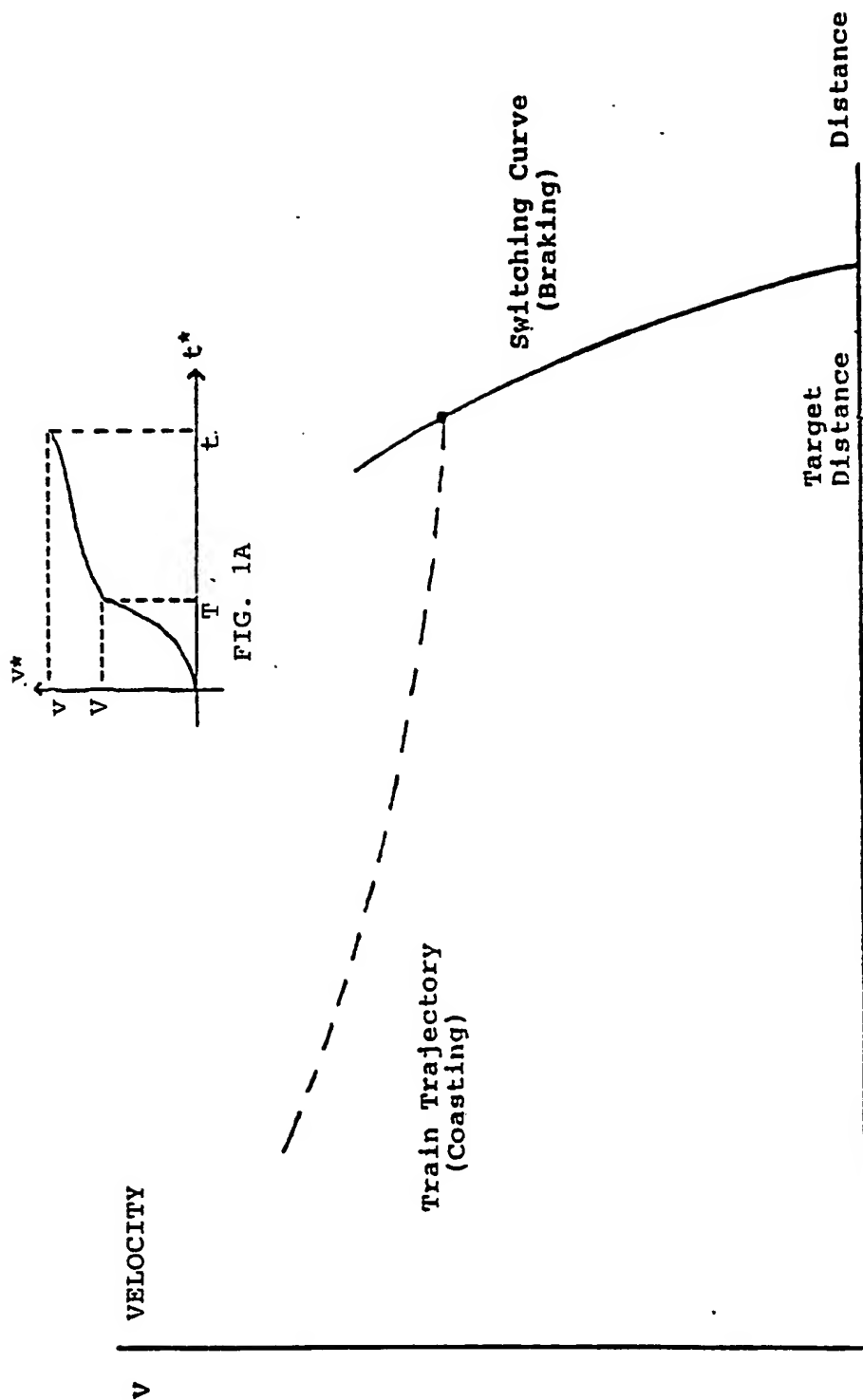


FIG. 1

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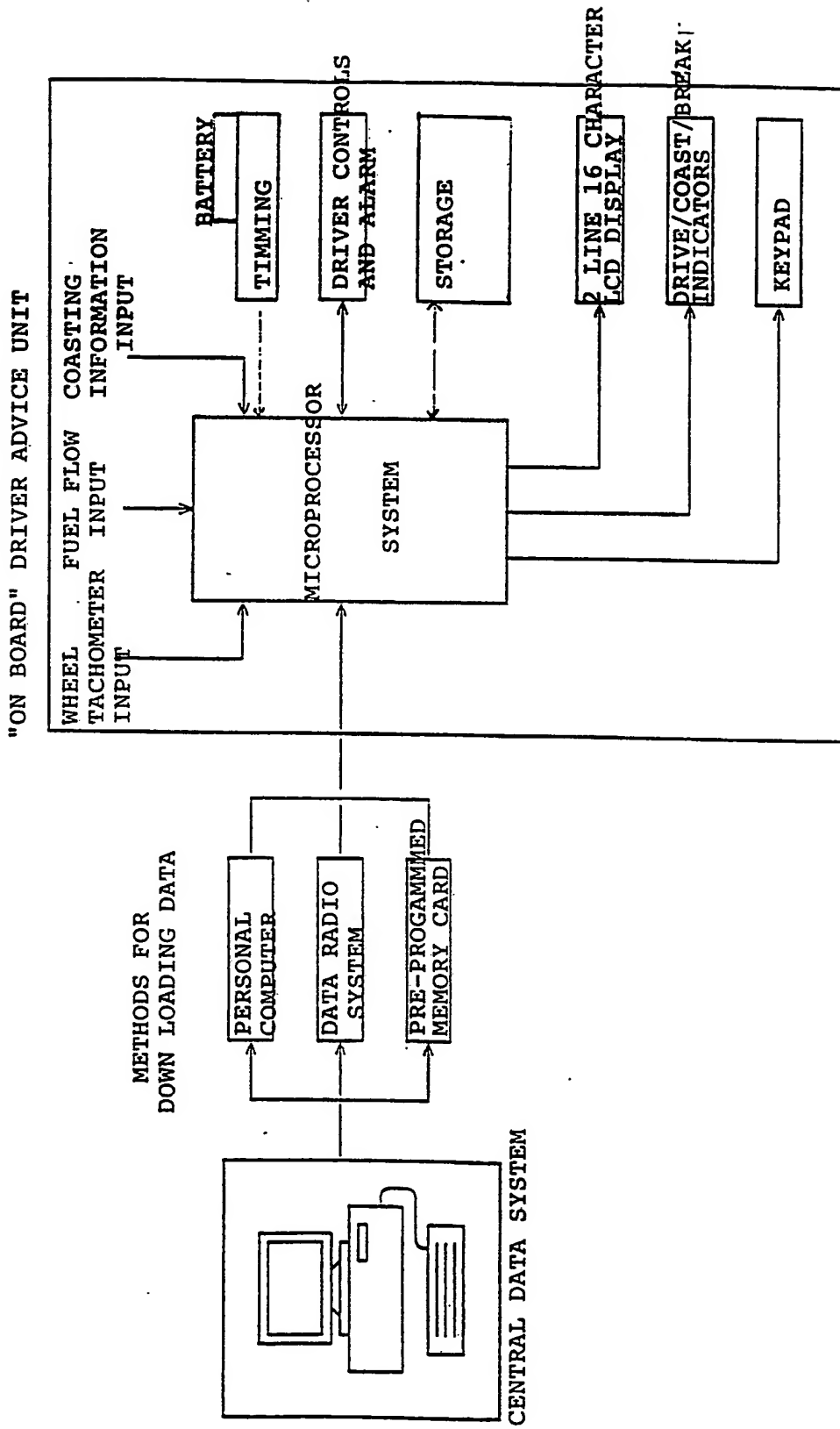


FIG. 2

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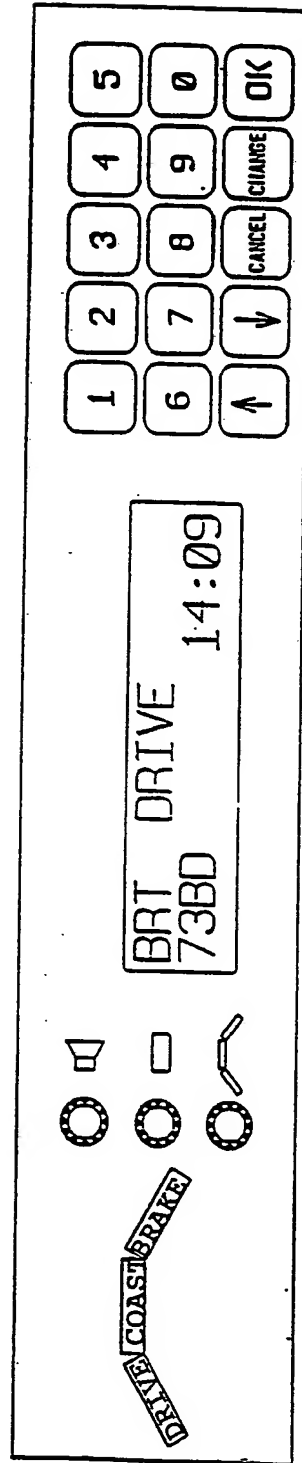



FIG 3

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/AU 89/00421

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) 6		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int. Cl. <sup>4</sup> G07C 5/08		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched 7		
Classification System	Classification Symbols	
IPC	G07C 5/08, B61C 17/12	
Documentation Searched other than Minimum Documentation to the extent that such Documents are Included in the Fields Searched 8		
AU: IPC as above		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> 9		
Category*	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages 12	Relevant to Claim No 13
A	GB,A, 971766 (GENERAL SIGNAL CORPORATION) 7 October 1964 (07.10.64)	
A	GB,A, 2154524 (STEYR-DAIMLER-PUCH AKTIENGESELLSCHAFT (AUSTRIA)) 11 September 1985 (11.09.85)	
A	EP,A, 7881 (RENAULT VEHICULES INDUSTRIELS) 6 February 1980 (06.02.80)	
A	EP,A, 43665 (THE KANSAS CITY SOUTHERN RAILWAY COMPANY) 13 January 1982 (13.01.82)	
<p>* Special categories of cited documents: 10</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Z" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search 20 December 1989 (20.12.89)		Date of Mailing of this International Search Report 29/12/89
International Searching Authority Australian Patent Office		Signature of Authorized Officer  M.E. DIXON

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON  
INTERNATIONAL APPLICATION NO. PCT/AU 89/00421

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Members					
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EP	7881	DE	2963609	FR	2431737		
EP	43665	AU	71901/81	CA	1183242	DE	3174550
		JP	57047249	US	4401035		

END OF ANNEX